# **Governance Framework**

## **Annual Governance Statement**

(Meets Accounts and Audit Regulations and is published with Annual Accounts)

### **Audit Committee**

(Challenge the draft AGS and supporting evidence. Approve AGS)

# Chief Executive and Corporate Leadership Team

#### Chief Officer for Internal Audit

(Challenge Governance Statement etc.)

Section 151 Officer (CFO)

(Promote and Deliver Good Financial Management)

# **Monitoring Officer**

(Legal and Ethical Assurance)

### **Code of Corporate Governance**

(The Council's commitment to good governance based on the principles of the CIPFA/SOLACE framework)

- Constitution
- Code of Conduct
- Scheme of Delegation
- Complaints/Feedback Process
- Equality and Diversity
- Standards Committee
- Complaint Summary Reports
- Public Consultations
- Local Government Ombudsman Report

- Corporate Plan
- Policies and Procedures
- Business/Service Planning
- Performance Results
- Manager's Assurance Statements
- Monthly/Quarterly Performance Reports
- Committee Reports
- Annual Internal Audit Opinion
- Scrutiny Committee

- Financial Management Framework
- Budget Monitoring Process
- Compliance with CIPFA Guidelines
- Medium Term Financial Strategy
- Treasury and Investment Strategy
- Capital Strategy/Strategic Asset Group
- Statement of Accounts
- Annual External Audit Report
- Financial/Contract Procedure Rules
- Procurement Board

- HR Policies Pay Policy
- Risk Management
- Corporate Risk Register
- Service Risk Register
- Staff Performance, Development and Review process
- Anti-Fraud and Corruption Policy
- Confidential Reporting Policy